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#3 Sec-08
PATENT
Attorney Docket No.: 18608-001910

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231 on November 20, 2000

TOWNSEND and TOWNSEND and CREW LLP

By: Kimberly Badieli
Kimberly Badieli

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID G. MATSUURA et al.

Application No.: 09/663,250

Filed: September 14, 2000

For: ANNULOTOMY CLOSURE
DEVICE

Examiner: Unassigned

Art Unit: 3738

TRANSMITTAL LETTER –
RESPONSE TO NOTICE OF MISSING
PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

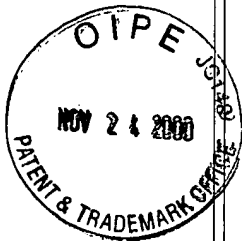
Pursuant to the Notice to File Missing Parts of Non-Provisional Application

Dated November 13, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
- 3) Verified Statement Claiming Small Entity Status
- 4) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Small Entity:	(a) Filing Fee (§ 1.16(a)) (Small Entity)	\$355.00
	(b) Excess Claims Fees (§ 1.16(b), (c)):	



38 - 20 = 18	x \$9.00 =	\$162.00
7 - 3 = 4	x \$40.00 =	\$160.00
(c) Missing Parts Surcharge		\$65.00
TOTAL FEES TO BE CHARGED		\$742.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

A handwritten signature in cursive script that reads "David Heckadon".

David Heckadon

*(Granted Limited Recognition under
37 CFR §10.9(b) – previously filed)*

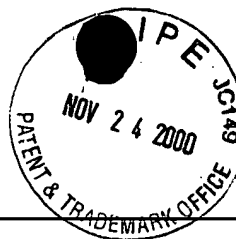
Customer No. 20350

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#3



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/663,250	09/15/2000	David G. Matsuura	18608-001910

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
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SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



OC000000005547596

Date Mailed: 11/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$558.
 - \$324 for 18 total claims over 20.
 - \$234 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1378.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/28/2000 JADD01 00000051 201430 09663250

01 FC:201 355.00 CH
02 FC:202 160.00 CH
03 FC:203 162.00 CH
04 FC:205 65.00 CH